



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C12P 17/16, A61K 31/40 // (C12P 17/16, C12R 1:43)</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 99/15690</b> <b>(43) International Publication Date:</b> 1 April 1999 (01.04.99)
<b>(21) International Application Number:</b> PCT/KR98/00287 <b>(22) International Filing Date:</b> 19 September 1998 (19.09.98) <b>(30) Priority Data:</b> 1997/47869 20 September 1997 (20.09.97) KR <b>(71) Applicant (for all designated States except US):</b> KOREA INSTITUTE OF SCIENCE AND TECHNOLOGY [KR/KR]; 39-1, Hahwolgok-dong, Seongbuk-ku, Seoul 136-130 (KR). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> KIM, Hwan, Mook [KR/KR]; 133-1301, Hanbit Apt., Oun-dong, Yusong-ku, Taejon 305-333 (KR). KIM, Young, Kook [KR/KR]; 102-601, Hanbit Apt., Oun-dong, Yusong-ku, Taejon 305-333 (KR). HAN, Sang, Bae [KR/KR]; 16/1, 204-8, Sajik-dong, Heungduck-ku, Cheongju-city, Choongcheongbuk-do 361-100 (KR). YOO, Sung, Rak [KR/KR]; Ga-103, Hyundai Villa, #64, Samsung-dong, Kangnam-ku, Seoul 135-090 (KR). <b>(74) Agent:</b> LEE, Duck, Rog; Yorksam Building, 2nd floor, 700-19, Yorksam-dong, Kangnam-ku, Seoul 135-080 (KR).		<b>(81) Designated States:</b> AU, BR, CA, CN, JP, MX, US, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> NOVEL <i>SERRATIA MARCESCENS</i> STRAIN, PRODIGIOSIN AND THE USE OF THE SAME AS AN IMMUNOSUPPRESSIVE		
<b>(57) Abstract</b>  There are disclosed a novel microorganism <i>Serratia marcescens</i> strain and a prodigiosin isolated from the microorganism. The prodigiosin is useful as an immunosuppressive in various fields, including the treatment of the diseases requiring immunosuppression and the basic research for the diseases, the transplantation of the organs or tissues, and the immune cells.		

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NOVEL *Serratia marcescens* STRAIN, PRODIGIOSIN AND  
THE USE OF THE SAME AS AN IMMUNOSUPPRESSIVE

#### TECHNICAL FIELD

5       The present invention relates to a novel *Serratia*  
*marcescens* strain, a prodigiosin, and the use of the  
prodigiosin in immunosuppression fields. More  
particularly, the present invention relates to a novel  
*Serratia marcescens* strain which can produce the  
10 prodigiosin, and the use of the prodigiosin as an  
immunosuppressive.

#### BACKGROUND ART

Over the recent few years, active study and research  
15 have been and continued to be directed to the development  
of immunosuppressives, which are useful for the study on  
immunocytes and immune responses and for the treatment of  
the diseases requiring immunosuppression. For instance,  
immunosuppressives are utilized in researching almost all  
20 of immune responses, including cytokine production, T-cell

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activation, antibody production, cell death, DNA synthesis, immunocyte differentiation, intracellular signal transduction, etc. The immunosuppressives are also used to treat the diseases attributable to exaggerated immune responses, such as hypersensitive immune response and allergies. In addition, they are needed to suppress excess immune responses upon transplantation of organs, such as the kidney, the liver, the pancreas, marrow, the heart, skin, the lung, etc.

10       Prevailing immunosuppressives include, for example, cyclosporin A, cyclophosphamide, rapamycin, FK-506, etc. Many immunosuppressives which show similar or different suppressing behaviors are now under research.

      The microorganisms belonging to genus *Streptomyces* or  
15 *Serratia* produce red substances of pyrrolylpyromethene structures, examples of which include prodigiosin, metacycloprodigiocin, prodigiosene, methoxyprodigiosin, and prodigiosin 25-C. They are now known to be of antibacterial and antimalarial activity and, particularly,  
20 prodigiosin 25-C shows an immunosuppressing effect.

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**DISCLOSURE OF THE INVENTION**

It is an object of the present invention to provide a novel strain *Serratia marcescens* which produce a prodigiosin.

5 It is another object of the present invention to provide a prodigiosin as an immunosuppressive.

**BEST MODES FOR CARRYING OUT THE INVENTION**

The detailed description of the present invention  
10 will follow isolation of a desired microorganism strain;  
mycological characterization of the strain; extraction of  
prodigiosin with organic solvent; purification of  
prodigiosin through silica gel column and thin layer  
chromatography; structure analysis through nuclear magnetic  
15 resonance; utility of the prodigiosin as an  
immunosuppressive.

Germ-free test animals, mice BDF1 and B6C3F1,  
obtained from Genetic Resources Center, Korean Research  
Institute of Bioscience and Biotechnology in the Korean  
20 Institute of Science and Technology, were used for the

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assay of the immunosuppressive activity of prodigiosin.

The data from the *ex vivo* experiments concerning the immunosuppressive effect of prodigiosin show that as much as 300 nM of prodigiosin has a cytotoxic effect, but no effects at less than 100 nM. At such concentrations as show no cytotoxic effects, prodigiosin cannot suppress the immune response of B lymphocytes. Prodigiosin had no influence on the antibody production and proliferation of B lymphocytes, but has a potential suppressive effect on the proliferation and activity of T lymphocytes. This selective immunosuppression for T lymphocytes is not ascribed to the selective cytotoxicity for T lymphocytes. The same immunosuppression results as in the *ex vivo* experiments were obtained in *in vivo* experiments. When T lymphocyte activity was measured by use of a graft versus host reaction and a T cell-dependent antibody producing reaction, the prodigiosin suppressed the immune response, but exerted no toxicity on animals. Therefore, the immunosuppressive activity of the prodigiosin is thought to be attributed to the selective suppression for T

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lymphocyte activity.

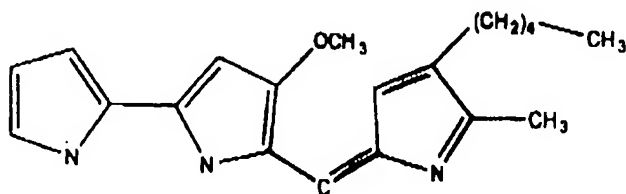
Prodigiosin 25-C, an immunosuppressive analogous to, but different from prodigiosin in structure and molecular weight, is known to suppress the proliferation of T lymphocytes, but not the proliferation of B lymphocytes. Of T lymphocytes, CD8 T lymphocytes are suppressed, but CD4 T lymphocytes are not. In contrast, the prodigiosin of the present invention has an immunosuppressive activity on CD8 T lymphocytes and CD4 T lymphocytes, both. This immunosuppressive activity is similar to those of other preexisting immunosuppressives. Like commercially available immunosuppressives, such as Cyclosporin A, Cyclophosphamide, FK-506 and Rapamycin, the prodigiosin of the invention selectively suppress the immune response of T lymphocytes.

The reaction systems used in the present invention are illustrative of the application of prodigiosin for a basic research of immunology, but not limitative of the use of prodigiosin. The immunosuppressives in current use are needed in various fields. First of all, the treatment

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of the diseases requiring immunosuppression and the basic research therefor require them. Immunosuppressive drugs are useful to remove the immune response which follows the transplantation of organs or tissues. Another application  
5 field of immunosuppressives is a basic research related to immune cells. In this field are included studies on cytokines, activation and differentiation of immune cells, and intracellular signal transduction. Cyclosporin A, Cyclophosphamide, FK-506 and Ripamycin are available for  
10 this field. Because the prodigiosin of the present invention has an activity similar to that of the above immunosuppressives, it can be used as a curing agent and a standard in such various fields.

The prodigiosin of the present invention was found to  
15 have the following chemical formula with a molecular weight of 323 as measured by NMR.





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A better understanding of the present invention may be obtained in light of the following examples which are set forth to illustrate, but are not to be construed to limit the present invention.

5

EXAMPLE I : Culturing of a *Serratia marcescens* strain and  
Isolation of Prodigiosin

Soil samples were taken from a silt area in Mokpo, Korea. A bacterial group belonging to *Serratia* spp. was  
10 isolated from the samples and named *Serratia marcescens* B-1231. It was deposited in Korean Collection for Type Cultures, Korean Research Institute of Bioscience and Biotechnology on Sep. 19, 1997 and received a Deposition No. KCTC-0386BP. In order to obtain an immunosuppressive,  
15 the *Serratia marcescens* B-1231 was cultured at 28 °C for 62 hours in a 1L Erlenmeyer flask containing a basic medium which consisted of soluble starch 1%, phamamedia 0.5%, glucose 0.2%, ammonium sulfate 0.1%, potassium phosphate 0.1%,  $MgSO_4 \cdot 7H_2O$  0.05%, calcium chloride 0.1% and  
20 NaCl 0.3%, at pH 7.0. An equal amount of ethyl acetate

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was added to the culture and they were sufficiently mixed for 30 min to give an organic layer. As the organic layer was concentrated under a reduced pressure, a red substance was obtained. This was separated by silica-gel column chromatography using as a mobile phase a mixture of chloroform and methanol. Following this, silica gel thin layer chromatography was carried out to purify the object material.

10 EXAMPLE II : *in vitro* Experiment for Cytotoxicity Effect of Prodigiosin on Lymphocytes

Immune cells were separated from the spleens of the germ-free animals and cultured *in vitro*. The cultures were treated with the prodigiosin at various amounts from 3 nM to 30,000 nM and the viability of the cells were measured from the first day to the third day after the treatment. Based on the initial viability of the immune cells, the viabilities of the test groups were calculated. The results are given as shown in Table 1, below. As apparent from the data, the viability of the treated

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immune cells is significantly decreased at a concentration not less than 300 nM when being compared with that of an untreated control. So, subsequent experiments for immunoactivity were carried out at not more than 100 nM in order to exclude the cytotoxicity and to measure only the immunosuppressive effect of the prodigiosin.

TABLE 1

Effect of Prodigiosin on the Viability of Immune Cells

Groups	Conc. of Prodigiosin (nM)	Viability (%)		
		1st day	2nd day	3rd day
Non-treated		93	79	77
Treated	3	96	86	79
	10	89	82	79
	30	89	70	81
	100	82	70	70
	300	68	14	18
	1,000	74	14	14
	3,000	61	9	8
	10,000	32	4	4
	30,000	4	4	4

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EXAMPLE III : *in vitro* Experiment for the Effect of  
Prodigiosin on Immune Cell Proliferation

Three standard substances which induce lymphocytes to proliferate were employed to measure the effect of the prodigiosin on proliferation of lymphocytes. 5  $\mu\text{g/ml}$  of lipopolysaccharide were used to induce B lymphocyte to proliferate, 5  $\mu\text{g/ml}$  of Concanavalin A for T lymphocyte and 5  $\mu\text{g/ml}$  of Pokeweed mitogen for B and T lymphocytes, both. Prodigiosin was added, together with the proliferation-inducing substance. Three days after the addition, the proliferation effect was monitored by measuring the amount of DNA synthesized. In order to exclude the cytotoxicity of prodigiosin, it was used at a concentration of not more than 100 nM. The effect of prodigiosin on the proliferation of lymphocyte is shown in Table 2, below. In Table 2, the proliferation percentages mean the proliferated amounts of prodigiosin-treated lymphocytes relative to that of an non-treated group. As shown, the suppression percentage effected by prodigiosin in amounts of 30-100 nM reaches up to 96-98 % for the T

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lymphocyte induced by concanavalin A while the proliferation of B lymphocyte induced by lipopolysaccharide and the proliferation of B/T lymphocytes induced by pokeweed mitogen are suppressed to the extent of 13-19% 5 and 45-83%, respectively. Consequently, the data demonstrate that the prodigiosin of the present invention has a potential immunosuppressive activity which is exerted selectively on T lymphocytes.

10

TABLE 2

Effect of Prodigiosin on the Proliferation of Immune Cells

Groups	Conc. of Prodigiosin (nM)	Proliferation (%)		
		B cell	T cell	B/T cells
Non-treated		100	100	100
Treated	3	101	77	100
	10	105	46	86
	30	87	4	55
	100	81	2	17

20 EXAMPLE IV : in vitro Experiment for the Effect of Prodigiosin on the Immune Response

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The influence of prodigiosin on the functions of lymphocytes was measured using three reaction systems. First, the ability of B lymphocyte to proliferate in response to lipopolysaccharide stimulus was assessed. For 5 this, on the third day after stimulation with lipopolysaccharide, the antibody production of the B lymphocyte was measured. When B lymphocytes are stimulated with lipopolysaccharide, they can produce antibodies without the aid of T lymphocyte. Second, a 10 mixed lymphocyte reaction was induced in order to assess the effect on T-cell response. The reaction needs no aids from the B lymphocyte. On the third day after two types of heteroimmune cells, which are different from each other in histocompatibility antigen, were mixed to stimulate the 15 activity of T lymphocytes, the T-cell response was assessed. Third, the T-cell dependent antibody producing reaction was utilized to assess the effect of prodigiosin on the simultaneous immune response of both of the B and T lymphocytes. This reaction requires the functions of B 20 and T lymphocytes, simultaneously. On the fifth day after

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immunization of the lymphocytes with the red blood cells of sheep, their antibody production ability was assessed.

The effects of prodigiosin on the immune response of lymphocytes are shown in Table 3, below. As apparent from 5 Table 3, the immune response in which T lymphocytes are involved is significantly suppressed whereas the B cell response is not at all throughout the concentration range. In Table 3, the values are relative to the immune response of the lymphocytes untreated with prodigiosin.

10 Taken together, the data of Examples III and IV demonstrate that the prodigiosin potentially suppresses the proliferation and immune response of T lymphocytes, selectively.

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TABLE 3

Effect of Prodigiosin on the Immune Response of Immune Cells

5	Groups	Conc. of Prodigiosin (nM)	Immune Response (%)		
			B cell	T cell	B/T cells
	Non- treated		100	100	100
10	Treated	3	116	111	81
		10	108	110	74
		30	100	67	64
		100	97	30	34

EXAMPLE V : Selective Cytotoxicity of Prodigiosin for B,  
CD4 T and CD8 T Lymphocytes

Whether the selective immunosuppression of prodigiosin  
 15 for T cells is attributed to the selective cytotoxicity  
 for T cells or not was assayed by measuring the proportion  
 of the cells. On the third day after treatment of the  
 immune cells with prodigiosin, the number of the cells was  
 counted. Because T lymphocytes consist of CD4 T cell  
 20 (helper T cell) and CD8 T cell (cytotoxic T cell), the  
 proportion of T and B lymphocytes was calculated in this



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Example. The results are shown in Table 4, below. The data of Table 4 show that the prodigiosin has no selective cytotoxicity. Thus, the selective immunosuppression for T lymphocytes is proved to be attributed to the suppression of immune response, but not of cytotoxicity. This result, together with the result of Example II, also demonstrates that the prodigiosin is not toxic within an effective experimental concentration range.

10

TABLE 4

## Cytotoxicity of Prodigiosin on Lymphocytes

Groups	Conc. of Prodigiosin (nM)	Proliferation (%)		
		B cell	CD4 T cell	CD8 T cell
Non-treated		47	31	12
Treated	3	47	31	13
	10	49	31	13
	30	50	31	12
	100	52	29	10

20 EXAMPLE VI : in vivo Experiment for the Effect of Prodigiosin on T Lymphocyte

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A graft versus host reaction was utilized for the *in vivo* assay of prodigiosin's immunosuppression. The graft versus host reaction enables an assessment of the immune response of T lymphocytes. On the sixth day after 5 transplantation of the T lymphocytes of BDF1 mice different in histocompatibility antigen, the lymphatic nodes were measured for weight, thereby assessing the immune response of T lymphocyte to the grafted heteroantigens. The prodigiosin was peritoneally injected 10 at a dose of 30-100 mg per kg of body weight for five days while cyclophosphamide, as a positive control, was peritoneally injected at a dose of 100 mg/kg for five days. The body weights of the injected mice were measured to compare the toxicity of prodigiosin with that of 15 cyclophosphamide. The results are given in Table 5, providing testimony that the prodigiosin potentially suppress the immune response of T lymphocytes, like the positive control, cyclophosphamide. As for the body weight, it was not changed in the mice injected with 20 prodigiosin at an effective concentration. This

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demonstrates that the prodigiosin suppresses the immune response of T lymphocyte without exerting toxicity *in vivo*. In contrast, a loss of body weight occurred in the mice injected with cyclophosphamide at an effective 5 concentration, showing the toxicity of the chemical.

TABLE 5

Effect of Prodigiosin on T Lymphocyte

10	Groups	Conc. (mg/kg)	Wt. (mg) of Lymphatic node	Body weight (g)
	Prodigiosin non- treated		3.54	22
	Prodigiosin Treated	10	1.12	20
		30	0.98	21
15	Positive Control (Cyclophosphamide)	100	0.06	18

EXAMPLE VII : Effect of Prodigiosin on T Lymphocytes *in vivo* (T-Cell Dependent Immune Response)

A T cell-dependent immune response reaction was used 20 to assess the influence of prodigiosin on T lymphocytes *in vivo*. Test animals were immunized with sheep red blood

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cells by peritoneal injection. 4 days after the immunization, the number of the antibody producing cells was counted. Prodigiosin was peritoneally injected everyday. Based on the number of the antigen-producing 5 cells in the non-treated animals, the influence of prodigiosin on T lymphocytes in vivo was assessed as percentage. Also, the weight ratio of the spleen to the body was measured to assay the toxicity of prodigiosin to the animals. Cyclophosphamide was used as a positive 10 control.

The results are given in Table 6, below. As apparent from the data of Table 6, the number of the antibody-producing cells was significantly reduced by the treatment of prodigiosin, which is comparable to the positive 15 control, cyclophosphamide, in the immunosuppression.

Taking account of the weight ratio of the spleen to the body, the prodigiosin showed no toxicity at its effective concentrations while cyclophosphamide was very toxic at its effective concentration.

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TABLE 6

Effect of Prodigiosin on T cell -Dependent Immune Response

	Groups	Conc. (mg/kg)	Immune Response (%)	Wt. Ratio of spleen/body(%)
5	Prodigiosin Treated		100	100
	Prodigiosin non- treated	10	32	95
		30	27	84
10	Positive Control (Cyclophosphamide)	100	7	26

**INDUSTRIAL APPLICABILITY**

As apparent from the data of the Examples, the prodigiosin of the present invention has a potentially suppressive effect on the immune response of T lymphocytes, *in vivo* and *in vitro*, both. What is better, the prodigiosin shows no toxicity at its effective concentration ranges. Therefore, the prodigiosin of the present invention can be used as an immunosuppressive or a standard substance in various fields, including the treatment of the diseases requiring immunosuppression and the basic research for the diseases, the transplantation of organs or tissues, and the immune cells.

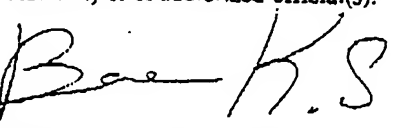
BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT  
OF MICROORGANISMS FOR THE PURPOSE OF PATENT PROCEDURE

## INTERNATIONAL FORM

## RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT

issued pursuant to Rule 7.1

TO: Kim, Hwan Mook  
133-1301 Hanbit Apt. Oun-dong, Yusong-ku, Taejon 305-333.  
Republic of Korea

I. IDENTIFICATION OF THE MICROORGANISM	
Identification reference given by the DEPOSITOR:  <i>Serratia marcescens</i> B-1231	Accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY:  KCTC 0386BP
II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION	
The microorganism identified under I above was accompanied by: <input checked="" type="checkbox"/> a scientific description <input type="checkbox"/> a proposed taxonomic designation (Mark with a cross where applicable)	
III. RECEIPT AND ACCEPTANCE	
This International Depositary Authority accepts the microorganism identified under I above, which was received by it on <b>September 12 1997</b> .	
IV. RECEIPT OF REQUEST FOR CONVERSION	
The microorganism identified under I above was received by this International Depositary Authority on _____ and a request to convert the original deposit to a deposit under the Budapest Treaty was received by it on _____.	
V. INTERNATIONAL DEPOSITARY AUTHORITY	
Name: Korea Research Institute of Bioscience and Biotechnology Korean Collection for Type Cultures  Address: KCTC, KRIBB #52, Oun-dong, Yusong-ku, Taejon 305-333, Republic of Korea	Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorized official(s):  Kyung Sook Bae, Curator Date: September 19 1997

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**CLAIMS**

1. A novel microorganism *Serratia marcescens* B1231  
which produces prodigiosin (KCTC 0386BP).

5

2. Use of prodigiosin as an immunosuppressive.

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/KR 98/00287

## A. CLASSIFICATION OF SUBJECT MATTER

IPC<sup>6</sup>: C 12 P 17/16; A 61 K 31/40 // (C 12 P 17/16; C 12 R 1:43)

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC<sup>6</sup>: C 12 P 17/16; A 61 K 31/40

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPI, EPODOC, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 266 028 A (NAKAMURA et al.) 05 May 1981 (05.05.81), abstract.	1
X	Patent Abstracts of Japan, Vol.5, No.41, 1981, JP 55-162 768 A (KIRIN BREWERY CO., LTD.) 18 March 1981 (18.03.81).	1
X	WO 97/30 029 A1 (PHARMACIA & UPJOHN S.P.A.) 21 August 1997 (21.08.97), abstract; page 2, lines 25-28.	2
X	Patent Abstracts of Japan, Vol.11, No.141, 1987, JP 61-280 429 A (CHUGAI PHARMACEUT CO., LTD.) 08 May 1987 (08.05.87). -----	2

☐ Further documents are listed in the continuation of Box C. ☒ See patent family annex.

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Date of the actual completion of the international search 02 February 1999 (02.02.99)	Date of mailing of the international search report 15 February 1999 (15.02.99)
Name and mailing address of the ISA/ Austrian Patent Office Kohlmarkt 8-10; A-1014 Vienna Facsimile No. 1/53424/535	Authorized officer Wolf Telephone No. 1/53424/436



## INTERNATIONAL SEARCH REPORT

Intern. application No.

PCT/KR 98/00287

In Recherchenbericht angeführtes Patentedokument Patent document cited In search report Document de brevet cité dans le rapport de recherche		Datum der Veröffentlichung Publication date Date de publication		Mitglied(er) der Patentfamilie Patent family member(s) Membre(s) de la famille de brevets		Datum der Veröffentlichung Publication date Date de publication	
US A 4266028		05-05-81		keine - none - rien			
WO A1 9730029		21-08-97		AU A1 17197/97		02-09-97	
				CA AA 2216465		21-08-97	
				CN A 1181752		13-05-98	
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